



EXPRESS MAIL NO. EK102712580US

From

Case Docket No. P02004US0  
Date July 10, 2000**FULBRIGHT & JAWORSKI L.L.P.**  
1301 McKinney, Suite 5100  
Houston, Texas 77010-3095  
713/651-5151BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor: **LANARI, et al.**For: **MOUSE MAMMARY TUMOR LINES EXPRESSING ESTROGEN AND  
PROGESTERONE RECEPTORS**

Enclosed are:

- ☒ 10 sheets of drawings (two photocopies each).
- ☐ An assignment of the invention to \_\_\_\_\_.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☒ Associate Power of Attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ List of prior art cited by applicant.

CLAIMS AS FILED			
Fee for:	Entity		Amount
	<input type="checkbox"/> Small	<input checked="" type="checkbox"/> Other	
	\$345.00	\$790.00	\$ 690.00
Basic fee			
Each independent claim in excess of 3	<u>  </u> x \$41.00	<u>  4  </u> x \$82.00	328.00
Each claim* in excess of 20	<u>  </u> x \$11.00	<u>  </u> x \$22.00	
Multiple dependent claim (one-time fee)	\$135.00	\$270.00	
TOTAL FILING FEE			\$ 1018.00

\*Including the total number of claims to which direct reference is made in all multiple dependent claims

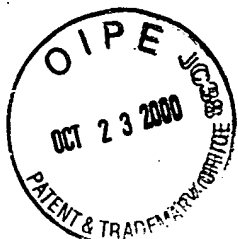
- ☒ Please charge my Deposit Account No. 06-2375 in the total amount of the filing fee and the assignment recordation fee, if any.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 06-2375.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 06-2375.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- ☒ Three copies of this sheet are enclosed.

  
Melissa W. Acosta  
Reg. No. 45,872

The stamp of the PATENT OFFICE, placed hereon, acknowledges receipt of:

Applicant LANARI et al. By SHANNON O'BRIEN  
Serial No. TBA Atty Docket # P02004US0  
Deposit/Mail Date 7/10/2000 Client # ALONSO, PARDO

- |   |   |
|---|---|
| <input type="checkbox"/> Amendment  | <input type="checkbox"/> Information Disclosure Statement             |
| <input type="checkbox"/> Amendment after Final  | <input type="checkbox"/> Form PTO-1449, References                    |
| <input type="checkbox"/> Appeal Brief, <u>0</u> Copies                                | <input type="checkbox"/> Issue Fee Transmittal                        |
| <input checked="" type="checkbox"/> Application for Patent including                  | <input type="checkbox"/> Notice of Appeal                             |
| <u>29</u> Pgs Spec., <u>12</u> Claims   | <input checked="" type="checkbox"/> Postcard                          |
| <input type="checkbox"/> Assignment   | <input type="checkbox"/> Power of Attorney by Assignee(s)             |
| <input type="checkbox"/> Assignment Cvr Page (Form PTO-1595)                          | <input checked="" type="checkbox"/> Power of Attorney by Inventor(s)  |
| <input checked="" type="checkbox"/> Cert. Of Exp. Mail under 37 CFR § 1.10            | <input type="checkbox"/> Preliminary Amendment                        |
| Express Mail No. <u>EK102712580US</u>   | <input type="checkbox"/> Priority Document                            |
| <input type="checkbox"/> Cert. Of Mailing under 37 CFR § 1.8(a)                       | <input type="checkbox"/> Req. for Filing [Cont.] [CIP] [Div. App.]    |
| <input type="checkbox"/> Check for \$ <u>1018.00</u>                                  | [New App.] under 37 CFR § 1.53(b)                                     |
| <input checked="" type="checkbox"/> Deposit Account No. 06-02375 for \$ <u>930.00</u> | <input type="checkbox"/> Req. for Filing CPA under CFR § 1.53(d)      |
| <input checked="" type="checkbox"/> Declaration                                       | <input type="checkbox"/> Submission of Missing Parts                  |
| <input checked="" type="checkbox"/> Drawings <u>10</u> Sheets,                        | <input checked="" type="checkbox"/> Transmittal Letter (in duplicate) |
| <u>0</u> formal; <u>0</u> Informal  | <input type="checkbox"/> Verified Statement to Establish Small Entity |
| <input type="checkbox"/> Extension of Time  |   |
| <input type="checkbox"/> Other _____  |   |



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Applicant LANARI et al. By SHANNON O'BRIEN  
Serial No. TBA Atty Docket # P02004US0  
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|---|---|
| <input type="checkbox"/> Amendment  | <input type="checkbox"/> Information Disclosure Statement             |
| <input type="checkbox"/> Amendment after Final  | <input type="checkbox"/> Form PTO-1449, References                    |
| <input type="checkbox"/> Appeal Brief, <u>0</u> Copies                                | <input type="checkbox"/> Issue Fee Transmittal                        |
| <input checked="" type="checkbox"/> Application for Patent including                  | <input type="checkbox"/> Notice of Appeal                             |
| <u>29</u> Pgs Spec., <u>12</u> Claims   | <input checked="" type="checkbox"/> Postcard                          |
| <input type="checkbox"/> Assignment   | <input type="checkbox"/> Power of Attorney by Assignee(s)             |
| <input type="checkbox"/> Assignment Cvr Page (Form PTO-1595)                          | <input checked="" type="checkbox"/> Power of Attorney by Inventor(s)  |
| <input checked="" type="checkbox"/> Cert. Of Exp. Mail under 37 CFR § 1.10            | <input type="checkbox"/> Preliminary Amendment                        |
| Express Mail No. <u>EK102712580US</u>   | <input type="checkbox"/> Priority Document                            |
| <input type="checkbox"/> Cert. Of Mailing under 37 CFR § 1.8(a)                       | <input type="checkbox"/> Req. for Filing [Cont.] [CIP] [Div. App.]    |
| <input type="checkbox"/> Check for \$ <u>1018.00</u>                                  | [New App.] under 37 CFR § 1.53(b)                                     |
| <input checked="" type="checkbox"/> Deposit Account No. 06-02375 for \$ <u>930.00</u> | <input type="checkbox"/> Req. for Filing CPA under CFR § 1.53(d)      |
| <input checked="" type="checkbox"/> Declaration                                       | <input type="checkbox"/> Submission of Missing Parts                  |
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| <u>0</u> formal; <u>0</u> Informal  | <input type="checkbox"/> Verified Statement to Establish Small Entity |
| <input type="checkbox"/> Extension of Time  |   |
| <input type="checkbox"/> Other _____  |   |

JC586 U.S. PTO

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/613,707	07/11/2000	Claudia Lanari	P02004USO

Thomas D Paul  
Fulbright & Jaworski LLP  
Suite 5100  
1301 McKinney  
Houston, TX 77010-3095



## FORMALITIES LETTER



\*OC000000005374832\*

Date Mailed: 09/05/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$312.
  - \$312 for 4 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1132.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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355.00 CH  
65.00 CH  
160.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LANARI et al.

Serial No.: 09/613,707

Filing Date: 07/11/2000

Title: MOUSE MAMMARY TUMOR LINES  
EXPRESSING ESTROGEN AND  
PROGESTERONE RECEPTORS



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Docket No.: P02004US0

Examiner:

Art Unit: 1645

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed 09/05/2000, Applicants enclose the following:

1. Statement Claiming Small Entity Status [Independent Inventors];
2. Copy of Transmittal of Nonprovisional Application;
3. Copy of Return Postcard submitted with nonprovisional application and received back from the United States Patent and Trademark Office;
5. Copy of Notice to File Missing Part of Nonprovisional Application, mailed 09/05/2000; and
6. Return Postcard.

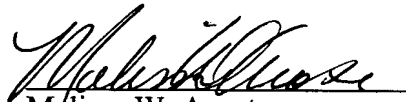
Applicants submit herewith a Small Entity Statement executed by the individual inventors. Thus, Applicants respectfully request that the fees for Small Entity be applied to this application. Applicants authorize the Commissioner to charge the Deposit Account No. 06-2375, in the total amount of the fees for small entity filing of this application, which Applicants believe are \$501.00. If any additional fees are required, the Commissioner is hereby authorized to charge the above-referenced Deposit Account.

Applicants respectfully request a waiver of the surcharge to file the Missing Parts. Applicants request this waiver because, with the initial filing of the non-provisional application, the Commissioner was authorized to charge the Deposit Account the filing fee, which was not charged. Applicants are enclosing copies of the filing papers, indicating that the Commissioner was authorized to charge the Deposit Account the amount necessary for the filing fees. Upon review of the enclosed copies, Applicants respectfully request a waiver of the surcharge of \$65.00. If, however, the enclosed documents are insufficient to waive the surcharge, then the Commissioner is hereby authorized to charge the above account the \$65.00 surcharge fee.

Applicants believe that there are no other fees necessary to file this response. If, however, Applicants are in error, then the Commissioner if authorized to charge any additional fees, or credit any overpayment, to the deposit account of Fulbright & Jaworski L.L.P., account number 06-2375, order number 10020885, from which the undersigned is authorized to draw.

A duplicate of this transmittal is enclosed for accounting purposes.

Respectfully submitted,

  
Melissa W. Acosta  
Registration No. 45,872

Date: October 20, 2000  
FULBRIGHT & JAWORSKI L.L.P.  
1301 McKinney, Suite 5100  
Houston, Texas 77010-3095  
(713) 651-5407 (Telephone)  
(713) 651-5246 (Facsimile)